California Association of Health Facilities, Summer	
Conference, San Diego, CA	-
Neal Logue, Health Insurance Specialist, Centers for Medicare & Medicaid Services	
(CMS) Division of Financial Management & Fee for Service Operations	
July 17, 2019	
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Agenda	
Patient Driven Payment Model (PDPM) Overview; What PDPM Does Change;	
What PDPM Does Not Change; RUG-IV – PDPM Transition;	
PDPM & Medicaid;	
New Medicare Card; Patients Over Paperwork;	-
FY 2020 Medicare Hospital IPPS & LTCH Proposed Rule & Request for Information	
Emergency Triage, Treat & Transport (ET3) Model; and Combatting the Opioid Epidemic.	_
Combaning the Opiolo Epidemie.	-
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PDPM Overview	
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- Issues with the current case-mix model, the Resource Utilization Group, Version IV (RUG-IV), have been identified by CMS, OIG, MedPAC, the media, among others.
 - Therapy payments under the SNF PPS are based primarily on the amount of therapy provided to a patient, regardless of the patient's unique characteristics, needs or goals.
 - SNF patients who may have significant differences in terms of nursing needs and costs often receive the same payment for nursing services.



PDPM Overview

- The Patient Driven Payment Model (PDPM) represents a marked improvement over RUG-IV for the following reasons:
 - Improves payment accuracy and appropriateness by focusing on the patient, rather than the volume of services provided.
 - Significantly reduces administrative burden on providers.
 - Re-allocates SNF payments to currently underserved beneficiaries without increasing total Medicare payments.



PDPM Overview

- RUG-IV consists of two case-mix adjusted components:
 - Therapy: Based on volume of services provided
 - Nursing: The nursing case-mix index does not currently reflect specific variations in non-therapy ancillary utilization.
- RUG-IV uses a constant per diem rate, meaning that the payment rate for a given RUG is the same on Day 1 and Day 100 of a patient's stay.
 - This results in too few resources at the outset of a SNF stay when costs are higher.



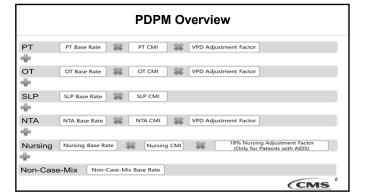
PDPM Overview

- PDPM consists of five case-mix adjusted components, all based on datadriven, stakeholder-vetted patient characteristics:
 - Physical Therapy (PT)
- Non-Therapy Ancillary (NTA)
- Occupational Therapy (OT)
- Nursing
- Speech Language Pathology

(SLP)

 PDPM also includes a "variable per diem adjustment" that adjusts the per diem rate over the course of the stay to better align SNF payments with not just what costs are incurred, but when they are incurred.

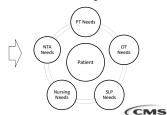
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Model Snapshot

While the RUG-IV model (left) reduces everything about a patient to a single, typically volume-driven case-mix group, PDPM (right) focuses on the unique, individualized needs, characteristics and goals of each patient.





Model Snapshot	
By addressing each of a patient's unique needs independently, PDPM improves payment accuracy and encourages a more patient-driven and	
holistic care model.	
PT Needs PT Needs	
OT Needs Patient A NTA Needs Patient B Patient B	
Nursing Needs Nursing Needs SLP Needs	
CMS ¹⁰	
What PDPM Does Change	
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What PDPM Does Change	
PDPM represents the single largest change to the SNF PPS since its	
inception, with impacts on patient classification, assessment burden, care planning and care design.	
Understanding the differences between RUG-IV and PDPM and the	
impact of these differences under the SNF PPS is essential to the success of the PDPM.	
CMS 12	

What PD	PM Does	Cha	nge:
Payment	Classifica	ation	Data

- While both RUG-IV and PDPM utilize the MDS as the basis for patient classification, the data elements used are quite different.
- For over 90 percent of the days billed under RUG-IV, the only two patient characteristics relevant for payment purposes are the patient's functional status and how much therapy the patient received.
 - These elements tell us very little about the actual patient and more about the services the facility furnished to the patient.

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What PDPM Does Change: Payment Classification Data

- For every day billed under PDPM, the patient characteristics relevant for payment purposes are also those relevant for care planning purposes:
 - Primary diagnosis
 - Comorbidities
 - Functional Status
 - Cognitive Status
 - Nutrition and Swallowing Needs
 - And many more.



What PDPM Does Change: Emphasis of Care

- Under RUG-IV, SNF patients are classified as being either "therapy" patients or "non-therapy" patients.
 - This dichotomy, coupled with the payment incentives that exist under RUG-IV, has caused a significant increase in SNF patients skilled only for a single aspect of care and facilities admitting fewer medically complex patients.
- Under PDPM, all SNF patients are classified under each component of care, highlighting the importance, complexity, and unique qualities of SNF care and SNF patients.

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What PDPM Does Change: Payment/Quality Alignment	
CMS measures the quality of care provided to SNF patients in a variety of ways.	
– SNF Quality Reporting Program	
– SNF Value Based Purchasing	
– Nursing Home Compare Star Ratings	
 Value driven care is, by definition, a balance between care quality and care cost. 	
High-value, efficient providers are those who are able to deliver high	
quality care for low cost.	
What PDPM Does Change: Payment/Quality Alignment	
Under RUG-IV, existing quality metrics are aligned, in many ways, with perverse payment incentives.	
Example: By trying to achieve the highest possible therapy	-
classification, a patient will receive a significant amount of therapy, thereby reducing the chance of the patient developing a worsening pressure ulcer.	
 PDPM redefines the relationship between payment and quality measures, realigning payment incentives and quality incentives. 	-
CMS 17	
- makes and community	
What PDPM Does Change: PPS Assessments	
The most often criticized aspect of the SNF PPS is the array of assessments that providers are required to complete.	
Scheduled assessments, unscheduled assessments, combining assessmentsassessments, assessments.	
The complexity of the RUG-IV assessment schedule represents a	· ·
significant potential financial risk for providers (i.e., default billing and provider liability), and means clinicians focusing less on direct patient care	
and more on meeting administrative requirements.	
CMS 18	

		cheduled PPS assessmen	
Medicare MDS	Assessment	Assessment reference	Applicable standard Medicare payment days
assessment schedule type	reference date	date grace days	
5-day	Days 1-5	6-8	1 through 14
14-day	Days 13-14	15-18	15 through 30
30-day	Days 27-29	30-33	31 through 60
60-day	Days 57-59	60-63	61 through 90
90-day	Days 87-89	90-93	91 through 100
		scheduled PPS assessme	
Start of Therapy OMRA	5-7 days after the s	tart of therapy	Date of the first day of therapy through the end of the standard payment period.
End of Therapy OMRA	1-3 days after all th	erapy has ended	First non-therapy day through the end of the standard payment period.
Change of Therapy OMRA	Day 7 (last day) of t period	the COT observation	The first day of the COT observation period until end of standard payment period, or until interrupted by the next COT-OMRA assessment or scheduled or unscheduled PPS Assessment.
Significant Change in Status Assessment	No later than 14 da change identified	ys after significant	ARD of Assessment through the end of the standard payment period.

What PDPM Does Change: PPS Assessments

- The PDPM assessment schedule is much more streamlined and simple, reducing the financial risk on providers and allowing clinicians to focus more time on patients and less time on paperwork.
- Assessments under PDPM also make use of more standardized data elements, such as section GG functional status items, allowing us to further reduce burden by retiring legacy data elements and improving coordination of care and communication among different provider types.



Medicare MDS assessment schedule type S-day Scheduled PPS Assessment Days 1-8 Days 1-8 Applicable standard Medicare payment days All covered Part A days until Part A discharge (unless an IPA is completed). ARD of the assessment through Part A discharge (unless an IPA assessment through Part A discharge (unless an IPA is completed). PPS Discharge Equal to the End Date of the Most Recent Medicare Stay (AZ400C) or End Date PS Discharge Assessment Applicable standard Medicare payment days All covered Part A days until Part A discharge (unless an IPA is completed). ARD of the assessment through Part A discharge (unless another IPA assessment is completed).

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What PDPM Does Change: Concurrent/Group Therapy	
Under RUG-IV, no more than 25% of the therapy services delivered to SNF patients, for each discipline, may be provided in a group therapy	
setting, while there is no limit on concurrent therapy	
Definitions:	
Concurrent Therapy: One therapist with two patients doing different activities	
Group Therapy: One therapist with four patients doing the same or similar activities	
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What PDPM Does Change: Concurrent/Group Therapy	
 Under PDPM, we use a combined limit both concurrent and group therapy 	
to be no more than 25% of the therapy received by SNF patients, for each therapy discipline.	
Compliance with the concurrent/group therapy limit will be monitored by	
new items on the PPS Discharge Assessment (O0425):	
 Providers will report the number of minutes, per mode and per discipline, for the entirety of the PPS stay 	
 If the total number of concurrent and group minutes, combined, comprises more than 25% of the total therapy minutes provided to the patient, for any therapy discipline, then the provider will receive a 	
warning message on their final validation report.	
What PDPM Does Change: Interrupted Stay	
What PDPM Does Change. Interrupted Stay	
 Under RUG - IV, each time a SNF patient is discharged from a Part A - covered stay (leaves the facility, drops below skilled coverage, etc.), and 	
returns to a Part A - covered stay, the provider must treat each admission as a new SNF admission.	
 Due to the incentives created as a result of the variable per diem, PDPM introduces to the SNF PPS an "Interrupted Stay" policy. 	
CMS 24	

What PDPM	Does	Change:	Interru	pted	Stay

- If a patient is discharged from a SNF and readmitted to the same SNF no later than 11:59 p.m. of the third consecutive calendar day after having left Part A coverage, then the subsequent stay is considered a continuation of the previous stay:
- Three-day window referred to as the "interruption window."
- An interrupted stay has an effect on the patient's assessment schedule and variable per diem schedule.
 - Assessment schedule continues from the point just prior to discharge.
 - Variable per diem schedule continues from the point just prior to discharge.



What PDPM Does Change: Interrupted Stay

- If a patient is discharged from SNF and readmitted outside the interruption window, or admitted to a different SNF, then the subsequent stay is considered a new stay: Assessment schedule and variable per diem schedule resets to day 1.
- This policy applies not only in instances when a patient physically leaves
 the facility, but also in cases when the patient remains in the facility but is
 discharged from a Medicare Part A covered stay. Example: If a patient in
 a SNF stay remains in the facility under a Medicaid-covered stay, but
 returns to skilled care within the interruption window.



What PDPM Does Change: Medical Review and Data Monitoring

- Regardless of the payment model used, ensuring appropriate safeguards for program integrity is always essential.
 - Ensuring program integrity can also represent an administrative burden and potential financial risk for providers.
- Under RUG-IV, given the high percentage of billed days in therapy groups, program integrity and monitoring efforts tend to focus on documentation and billing for therapy services, ensuring that the therapy furnished to a SNF patient is reasonable, necessary and individualized based on the patient's unique condition.

Wh	at PDPN	/I Doe	s Ch	ange:	
Medical	Review	and I	Data	Monito	ring

- Given the more holistic style of care emphasized under PDPM, program integrity and data monitoring efforts will also be more comprehensive and broad.
- For program integrity, we expect provider risk will be more easily
 mitigated to the extent that reviews focus on more clearly defined aspects
 of payment, such as documentation supporting patient diagnoses and
 assessment coding.
 - If the provider codes that the patient's primary diagnosis is a major joint replacement, then the reviewer should be able to verify that the patient received a major joint replacement.

CMS 28

What PDPM Does Change: Medical Review and Data Monitoring

- Therapy services will still represent an important and significant part of data monitoring and program integrity efforts.
 - New items are being added to the MDS to allow CMS to track therapy service delivery, both in terms of intensity and the manner of delivery
- CMS will be monitoring therapy service provision under PDPM, as compared to RUG-IV, at the national, regional, state, and facility level.
 - Significant changes in the amount of therapy provided to SNF patients under PDPM, as compared to RUG-IV, or the manner in which it is delivered, may trigger additional program reviews and potential policy changes.

What PDPM Does Not Change

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	What PDPM Does Not Change: Actually, a lot!
•	Despite the significant changes occurring under PDPM, many SNF PPS
	policies remain unchanged under PDPM.
	Basic administrative processes under SNF PPS Payment and adjustments (value index ORB VPR etc.)
	- Payment rate adjustments (wage index, QRP, VBP, etc.)
	- Denial notice policies, ABNs, NOMNCs
	Payment and policy associated with therapy evaluations
	 Student supervision policies
	There are three particular areas that remain unchanged under PDPM that
	are of particular note
_	are of particular flote.
	What PDPM Does Not Change: What's Covered
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•	The SNF PPS covers skilled nursing care, skilled rehabilitation services
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What You Should Document
Section 30.2.2.1 of Chapter 8 of the Medicare Benefit Policy Manual states that SNF claims must include sufficient documentation that would

- Skilled involvement is required in order for services to be furnished

 The services are reasonable and necessary for the treatment of a patient's illness or injury, i.e., consistent with...the individual's particular

 The documentation must also show that the services are appropriate in terms of duration and quantity, and that the services promote the

CMS 33

allow a reviewer to determine:

documented therapeutic goals.

safely and effectively,

medical needs.

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What PDPM	Does	Not C	Change:
What You	Should	Doc	ument

- PDPM does not change these documentation requirements, but rather strengthens the importance of documenting all aspects of a patient's care, consistent with PDPM's focus on a more holistic care model.
- Given the increased relevance of a greater set of data elements supporting payment under PDPM, providers should ensure that there is strong documentation and support for the care associated with each PDPM component.

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What PDPM Does Not Change: What Your Patient Needs

- While PDPM changes how patients are classified into payment groups, PDPM does not change what SNF patients need, their goals, or the unique characteristics of each patient that should drive care planning.
 - If a patient needs 720 minutes of therapy per week as of September 30, 2019, and nothing changes clinically about the patient, then the patient needs 720 minutes of therapy per week as of October 1, 2019.
 - If group therapy is not clinically indicated for the patient as of September 30, 2019, and nothing changes clinically about the patient, then it is not clinically indicated for the patient as of October 1, 2019.

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What PDPM Does Not Change: What Your Patient Needs

- A major component of CMS' PDPM monitoring strategy is monitoring for consistency in care provision between RUG-IV and PDPM.
 - Therapy intensity, duration, and manner of delivery
 - Increased utilization of mechanically altered diets
 - Anomalies in comorbidity coding
- Any significant shifts in care provision between RUG-IV and PDPM could draw significant scrutiny from CMS review entities.

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RUG-IV – PDPM Transition	
RUG-IV - PDPM Transition	
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RUG-IV - PDPM Transition	
 As discussed in the FY 2019 SNF PPS Final Rule, there is no transition period between RUG-IV and PDPM, given that running both systems at 	
the same time would be administratively infeasible for providers and	
CMS:	
RUG-IV ends September 30, 2019PDPM begins October 1, 2019	
- 1 bit wi begins october 1, 2013	
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RUG-IV – PDPM Transition	
 In order to receive a RUG-IV HIPPS code that can be billed for services furnished prior to October 1, 2019, providers must use an assessment 	
with an ARD set for on or prior to September 30, 2019.	
 For instance, if a patient is admitted to the facility in the last few days of September 2019, providers must have an assessment with an ARD set 	
for on or prior to September 30, 2019, in order to receive a RUG-IV	
HIPPS code.	
 Providers still have the usual 14-day completion period and 14-day submission period, regardless of the assessment ARD. 	
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RUG-IV – PDPM Transition	
For patients admitted prior to October 1, 2019, but whose stays continue past this date, in order to receive a PDPM HIPPS code that can be used to bill for services furnished on or after October 1, 2019, providers must	
complete an IPA with an ARD no later than October 7, 2019: - October 1, 2019, will be considered Day 1 of the VPD schedule under	-
PDPM, even if the patient began their stay prior to October 1, 2019. - Any "transitional IPAs" with an ARD after October 7, 2019, will be considered late and relevant penalty for late assessments would apply.	
considered rate and relevant penalty for rate assessments would apply.	
If the patient's stay begins on or after October 1, 2019, then the provider would begin with the 5-day assessment, as usual.	-
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PDPM & Medicaid	
CMS 41	
DDDM 0 Medicaid]
PDPM & Medicaid	
While PDPM was created to replace the case-mix classification system used under Medicare, it also has effects on Medicaid payment programs.	
There are two main areas of Medicaid payment affected by PDPM: Upper Payment Limit (UPL) Calculation	
- Case-mix Determinations	_
CMS 42	

PDPM & Med	ik	ica	ic
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- · UPL represents a limit on certain reimbursements for Medicaid providers:
 - Specifically, the UPL is the maximum a given State Medicaid program may pay a type of provider, in the aggregate, statewide in Medicaid fee-for-service.
- While budget neutral in the aggregate, PDPM changes how payment is made for SNF services, which can have an impact on UPL calculations:
 - States will need to evaluate this effect to understand revisions in their UPL calculations.
 - MedicaidUPL@cms.hhs.gov



PDPM & Medicaid

- For purposes of Medicaid reimbursement, states utilize a myriad of different payment methodologies to determine payment for Nursing Facility (NF) patients, including versions of RUG-III and RUG-IV.
- Case-mix states also may rely on PPS assessments to capture changes in patient case-mix, including scheduled and unscheduled assessments:
 - As of October 1, 2019, all scheduled PPS assessments (except the 5day) and all current unscheduled PPS assessments will be retired.
 - To support case-mix states during this transitional period, CMS created the Optional State Assessment (OSA), which may be required by states for NFs to classify NF patients for payment purposes.

PDPM & Medicaid

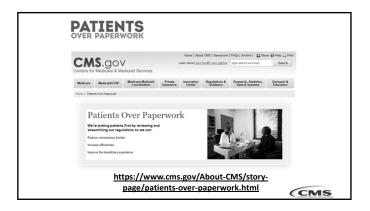
- With PDPM implementation, CMS will continue to provide technical support for legacy payment models, such as RUG-III and RUG-IV, beyond 10/01/2020 through continued use and support of the OSA.
- We are aware that states require Section GG and the PDPM related payment items in order to consider a transition to PDPM for their Medicaid payment systems.
 - We will continue our technical support for legacy payment models for a period that will allow states to collect and analyze the data necessary for a transition to PDPM.

PDPM Resources

- PDPM website: https://www.cms.gov/Medicare/Medicare-Fee-for-Service-Payment/SNFPPS/PDPM.html
- For questions related to PDPM implementation and policy: <u>PDPM@cms.hhs.gov</u>
- For questions related to the OSA, contact your state RAI coordinator and/or state Medicaid agency.



Current Medicare Card MEDICARE HEALTH INSURANCE 1-800-MEDICARE (1-800-633-4227) MANE DOG 1000-0-0000-A FEMALE 1000-0-00000-A FEMALE 1000-0-0000-A 1



June 10, 2019	en-il-comes-our-health-every-second-count ator, Centers for Medicare & Medicaid Service	@cms hhs gov
seeking inno burden and • Reporti • Coding • Prior au • Policies • Policies	vative ideas that broaden pe ways to improve: ng and documentation requi and documentation requirer ithorization procedures and requirements for rural	ments for Medicare or Medicaid payment providers, clinicians, and beneficiaries Iment and eligibility determination
. Commonts	re due August 12, 2019 and	can be submitted here:

Acute Care	(IPPS) and Long Tendospital Inpatient Prospective Payment System (IPPS) and Long Tendospital (LTCH) le and Request for Information
	 Addressing Wage Index Disparities – proposing changes to the wage index calculation, including: A methodology to increase the wage index for certain low wage index hospitals Changes to how the statutory rural floor wage index values are calculated
	 Hospital-Acquired Conditions (HAC) Reduction Program — proposing to: Specify the dates to collect data used to calculate performance for the FY 2022 HAC Reduction Program Clarify processes for validating National Healthcare Safety Network (NHSN) Healthcare-associated infection (HAI) data submitted by hospitals to the Centers for Disease Control and Prevention
	Hospital Readmissions Reduction Program (HRRP) – CMS is proposing to: Establish the performance period for the FY 2022 program year Update the definition of Youla eligible*
	Hospital Inpatient Quality Reporting (IQR) Program – CMS is proposing to:
	 Remove the Claims-Based Hospital-Wide All-Cause Readmission measure and replace with the proposed Hybrid Hospital-Wide All-Cause Readmission (Hybrid HWR) Measure
	 Establish reporting and submission requirements for the hybrid measure
	 Require reporting beginning with the FY 2026 payment determination after 2 years of voluntary reporting
	 Adopt two new opioid-related electronic clinical quality measures (eCQMs) beginning in 2021, including
I	Safe Use of Opioids – Concurrent Prescribing eCQM so

 $\frac{https://www.cms.gov/newsroom/fact-sheets/fiscal-year-fy-2020-medicare-hospital-inpatient-prospective-payment-system-ipps-and-long-term-acute}{}$

Fiscal Year (FY) 2020 Medicare Hospital Inpatient Prospective Payment System (IPPS) and Long Term Acute Care Hospital (LTCH)
Proposed Rule and Request for Information

April 23, 2019

- LICH Quality Reporting Program (QRP)

- Proposing to adopt new quality measures pertaining to:

- Transferring health information

- Standardized patient assessment data elements that assess either

- Functional status,

- Cognitive function and mental status,

- Special services,

- Treatments and interventions,

- Medical conditions and comorbidities,

- Impairments, or social socialism,

- Services, health literacy transportation, or social socialism)

o In response to stakeholder input, CMS is proposing to:

- Modify the previously adopted Discharge to Community measure to exclude nursing home residents who already reside in the nursing home

- Residents who already reside in the nursing home

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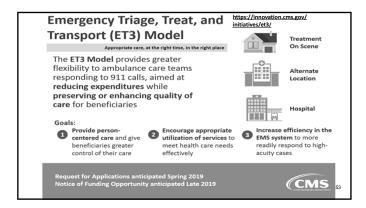
- Residents who already reside in the nursing home

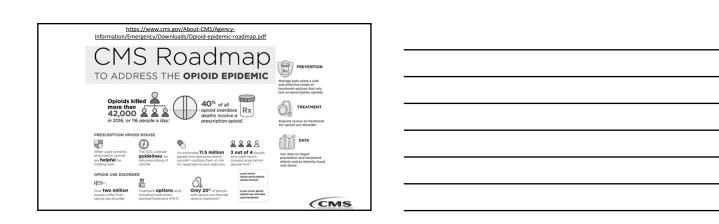
- Residents who already reside in the nursing home

- Residents who already reside in the nursing home

- Residen

EXISTING CHALLENGES MODEL EXISTING CHALLENGES MODEL MODEL INTERVENTIONS MODEL GOALS MODEL GOALS MODEL GOALS MODEL GOALS MODEL GOALS * Ambulance transported a transported to a hospital emergency ground with an medical wind with an enderal wind with an enderal transported to a high-scults of transported tran





SUPPORT	for Patients	and Comm	unities Ac

- Expanding the Use of Telehealth Services for the Treatment of Opioid Use Disorder and Other Substance Use Disorders
- Through an interim final rule with comment period. CMS is implementing a provision from the Substance
 Use-Disorder Prevention that Promotes Opciol Recovery and Treatment (SUPPORT) for Patients and
 Communities Act that removes the originating site geographic requirements and adds the home of
 an individual as a permissible originating site for releheath services trumished or purposes of
 treatment of a substance use disorder or a co-counting mental health disorder for services turnished.
- Additionally, the SUPPORT for Patients and Communities Act establishes a new Medicare benefit category for poid use discorder treatment services farmished by opiolity destreatment programs (OFF) under Medicare Part B. seginning on a rater January 1, 200. We note that there is a 64-day relationship of the programs of the patient of the patient programs of the pa
- For additional information, please see the 2019 PFS Final Rule: https://www.federalregister.gov/documents/2018/11/23/2018-24170/medicare-program-revisions-to-payment-policies-under-the-physician-fee-schedule-and-other-revisions



Opioids- Open Letter

- Instructions went to MACs to send to clinicians at end of February
- 4-page letter highlighting CMS' effort in combatting the opioid crisis
- Mentions roadmap: 3 prongs: prevention, treatment and using data to target prevention and treatment activities
- Guidance for co-prescribing naloxone
- New Medicare Part D opioid policies Safe and effective pain management treatments



Disclaimer

- This presentation was current at the time it was published or uploaded onto the web.
 Medicare policy changes frequently, so links to the source documents have been provided within the document for your reference.
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